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QUALITY METRICS FOR OBJECT-RELATIONAL DATABASES

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ABSTRACT

Quality is a critical success factor for all economical and organisational aspects, and especially in Information Systems (IS). It is important that the software applications can be evaluated for every relevant quality characteristic using validated metrics. Almost all the metrics proposed are focused on programme. But databases are introduced in most of the important IS, becoming their essential core, so it is essential to dispose on metrics for databases. In this paper we present the metrics that we have proposed for two kinds of databases: the relational and the object-relational. These metrics measure internal attributes of databases, which can characterised their complexity and can help to assess database quality .

Keywords: Quality, Metrics, Relational Databases, Object-Relational Databases

1. INTRODUCTION

Quality is a critical success factor for all economical and organisational aspects, and especially in Information Systems (IS). It is important that the software applications can be evaluated for every relevant quality characteristic using validated metrics. Software engineers have been putting forward huge quantities of metrics for software products, processes and resources ([19], [7], [13]). Unfortunately, almost all the metrics proposed are focused on programme, disregarding data-related quality ([24]). But databases are introduced in most of the important IS, becoming their essential core, so it is essential to dispose on metrics for databases.

Following the ISO/IEC 9126 ([17]) quality model, several characteristics can be identified in software quality: functionality, reliability, usability, efficiency, maintainability and portability. Taking into account that maintenance arrange between 60 and 90 percent of life cycle costs ([6], [23]), we focus our work on maintainability. ISO/IEC 9126 distinguishes five subcharacteristics for maintainability: analysability, changeability, stability, testability and compliance (see figure 1). Analysability, changeability and testability are in turn influenced by complexity ([18]). However, a general complexity measure is "the impossible holy grail" ([12]). In [16] the author distinguishes three types of

complexity: computational, psychological and representational, and for psychological complexity he considers three components: problem complexity, human cognitive factors and product complexity ([16]). The last one is our focus.

So, the metrics we define are for measure internal attributes of databases, which can characterised their complexity which can help to assess database maintainability (the external attribute).

In this paper we present the metrics that we have proposed for two kinds of databases: the relational and the object-relational.

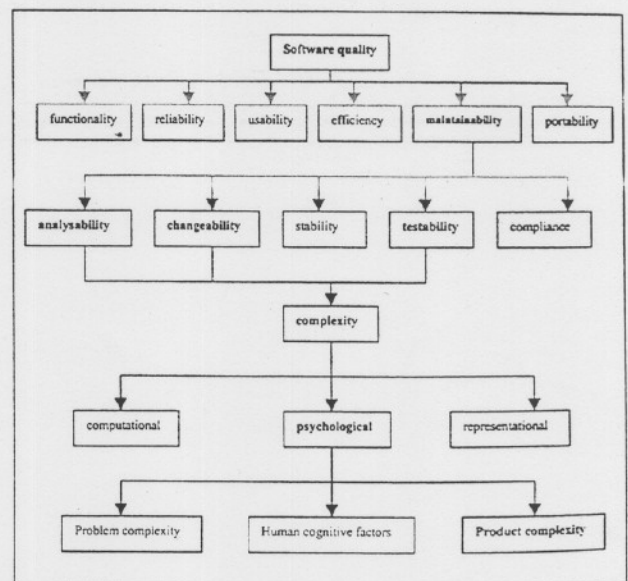


Figure 1. Relation between products complexity metrics and software quality

2. METRICS FOR RELATIONAL DATABASES

The relational model proposed by Dr. Codd in the late sixties ([9]), currently dominates the database market. In spite of their diffusion, the only indicator used to measure the quality of relational database has been the normalisation theory, upon which ([15]) propose to obtain a normalisation ratio. But normalisation is not enough to characterise database quality. In [10] the author defines a relational database management system as "a system in which, at minimum :

- The data is perceived by the user as tables (and nothing but tables); and
- The operators at the user's disposal are operators that generate new tables from old, and those operators include at least SELECT, PROJECT, JOIN".

Related with the foreign key concept, the relational model includes the referential integrity rule: the database must not contain any unmatched foreign key values ([10]).

Taking into account the characteristics of the relational databases (tables and referential integrity) we propose the following four metrics: NA, DRT, RD and COS.

NA metric. Number of attributes (NA) is the number of attributes in all the tables of the schema.

DRT metric. Depth Referential Tree (DRT) is a referential integrity related metric and is defined as the length of the longest referential path in the database schema. Every arc of the path is a referential integrity relation between two tables. The value of the metric is given by the number of arcs on the longest path. Cycles are only considered once

RD metric. Referential Degree (RD) metric is also a referential integrity related metric and is defined as the number of foreign keys in the schema.

COS metric. Cohesion of the schema (COS) is defined as the sum of the square of the number of tables per unrelated subgraph in the database:

$$COS = \sum_{i=1}^{|US|} NTUS_i^2$$

|US| number of unrelated subgraphs
NTUS_i number of tables in the unrelated subgraph "i"

Example. Applying the previous metrics to the following example taken from [11]:

```
CREATE TABLE EMPLOYEE
(
  NAMEP  VARCHAR(15) NOT NULL,
  INIC   CHAR,
  SURNAME VARCHAR(15) NOT NULL,
  NSS    CHAR(9) NOT NULL,
  DATEN  DATE,
  ADDRESS VARCHAR(30),
  SEX    CHAR,
  SALARY DECIMAL(10,2),
  NSSSUPER CHAR(9),
  ND     INT NOT NULL,
  CONSTRAINT CLPEMP
  PRIMARY KEY (NSS),
  CONSTRAINT CLESUPEREMP
  FOREIGN KEY (NSSSUPER) REFERENCES
  EMPLOYEE(NSS)
  ON DELETE SET NULL ON UPDATE CASCADE,
  CONSTRAINT CLEDEPTOEMP
  FOREIGN KEY (ND) REFERENCES DEPARTMENT
  (NUMBERD)
  ON DELETE SET DEFAULT ON UPDATE CASCADE);
```

```
CREATE TABLE DEPARTMENT
(
  NAMED  VARCHAR(15) NOT NULL,
  NUMBERD INT NOT NULL,
  NSSGTE CHAR(9) NOT NULL,
  DATEINICGTE DATE,
  CONSTRAINT CLPDEPTO
  PRIMARY KEY (NUMBERD),
  CONSTRAINT CLSDEPTO
  UNIQUE(NOMBRED),
  CONSTRAINT CLEGTEDEPTO
  FOREIGN KEY (NSSGTE) REFERENCES EMPLOYEE
  (NSS)
  ON DELETE SET DEFAULT ON UPDATE CASCADE);
```

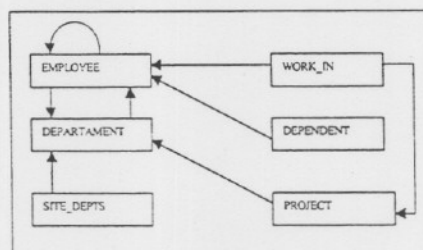
```
CREATE TABLE SITE_DEPTS
(
  NUMBERD INT NOT NULL,
  SITED   VARCHAR(15) NOT
  NULL,
  PRIMARY KEY (NUMBERD, SITED),
  FOREIGN KEY (NUMBERD) REFERENCES DEPARTMENT
  (NUMBERD)
  ON DELETE CASCADE ON UPDATE CASCADE);
```

```
CREATE TABLE PROJECT
(
  NAMEPR  VARCHAR(15) NOT NULL,
  NUMBERPR INT NOT NULL,
  SITEPR  VARCHAR(15),
  NUMD    INT NOT NULL,
  PRIMARY KEY (NUMBERPR),
  UNIQUE (NAMEP),
  FOREIGN KEY (NUMD) REFERENCES DEPARTMENT
  (NUMBERD));
```

```
CREATE TABLE WORK_IN
(
  NSSE  CHAR(9) NOT NULL,
  NUMP  INT NOT NULL,
  HOURS DECIMAL(3,1) NOT NULL,
  PRIMARY KEY (NSSE, NUMP),
  FOREIGN KEY (NSSE) REFERENCES EMPLOYEE (NSS),
  FOREIGN KEY (NUMP) REFERENCES PROJECT (NUMBERPR));
```

```
CREATE TABLE DEPENDENT
(
  NSSE  CHAR(9) NOT NULL,
  NAME_DEPEND VARCHAR(15) NOT
  NULL,
  SEX   CHAR,
  DATEAN DATE,
  RELATION VARCHAR(8),
  PRIMARY KEY (NSSE, NAME_DEPEND),
  FOREIGN KEY (NSSE) REFERENCES EMPLOYEE (NSS));
```

We can obtain the relational graph and calculate the metrics values:



	NA	DRT	RD
EMPLOYEE	10	3	2
DEPARTAMENT	4	3	1
SITE_DEPTS	2	4	1
PROJECT	4	4	1
WORK_IN	3	5	2
DEPENDENT	5	4	1

3. METRICS FOR OBJECT-RELATIONAL DATABASES

An object-relational database schema is composed by a number of related tables. Every table have columns that can be defined as a simple data or as a complex data. The type of a simple data may be one of the classic data types as integer, number or character. A complex data is defined above a class (or a UDT, user defined type), which can be related with other classes (types) by generalisation or inheritance associations.

Size of a table. We define the table size (TS) as the sum of the total size of the simple columns (TSSC) and the total size of the complex columns (TSCC) in the table:

$$TS_i = TSSC + TSCC$$

We consider that all simple columns have a size equal to one, then the TSSC metric is equal to the number of simple columns in the table (NSA).

$$TSSC = NSA$$

And the TSCC is defined as the sum of each complex column size (CCS):

$$TSCC = \sum_{i=1}^{NCC} CCS_i$$

Being NCC the number of complex columns in the table.

The value for CCS is obtained as:

$$CCS = \frac{SHC}{NCU}$$

Being SHC the "size of the hierarchy (formed by the class and its antecesor)" above which the column is defined and NCU is the number of columns defined above this hierarchy. This expression reflects the fact that the effort to understand two complex columns decreases if both are defined over the same class.

The SHC may be defined as the sum of each class size in the hierarchy (SC):

$$SHC = \sum_{i=1}^{NCH} SC_i$$

being NCH the number of classes in the hierarchy.

The size of a class is defined as:

$$SC = \frac{SAC + SMC}{NHC}$$

being SAC the sum of the size attributes of the class, SMC the size methods of the class and NHC the number of hierarchies to which the class pertain.

The attributes of a class may also be simple or complex, then the SAC is defined as the sum of the simple attributes size (SAS, that have size equal to one like simple attributes) and the complex attributes size (CAS) in the class.

$$SAC = SAS + CAS$$

And the SMC is calculated with the version of the cyclomatic complexity of McCabe given by Li and Henry (1993):

$$SMC = \sum_{i=1}^{NMC} V_i(G)$$

being NMC the number of methods in the class

Complexity of references between tables. In object-relational databases, some characteristics of relational databases are preserved, for example referential integrity. So, for this kind of databases we can use the metrics related with referential integrity proposed for relational databases DRT and RD.

Example. Applying the metrics to the example showed in figure 3, we obtain the following values for the metrics:

$$\begin{aligned} SC(\text{class_person}) &= 3, \\ SC(\text{class_projects}) &= 3, \\ SC(\text{class_emp}) &= 7 \end{aligned}$$

and

$$\begin{aligned} SHC &= 13, CCS = 6.5, \\ TSSC &= 13, \\ TSSC &= NSA = 2 \end{aligned}$$

and finally $TS = 15$.

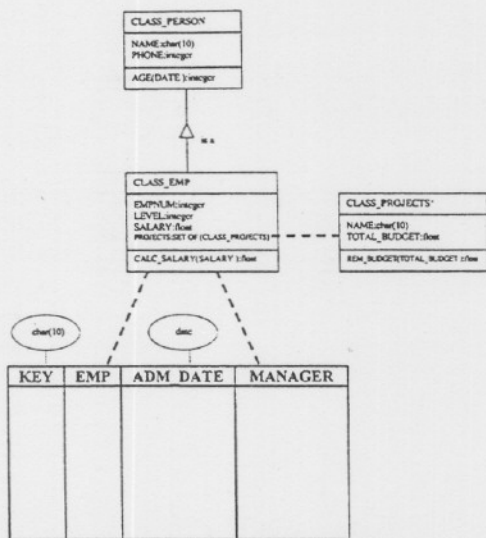


Figure 3. Example of a table with complex columns

4. CONCLUSIONS AND FUTURE WORK

It is important that the software products, and obviously databases, are evaluated for every relevant quality characteristics, using validated or widely accepted metrics. These metrics could help designers, choosing between alternative semantically equivalent schemata, the most maintainable one. Because of this, we think it is very important to measure databases and understand their contribution to the overall IS maintainability.

We have put forward different measures (for internal attributes) in order to measure the complexity that affects the maintainability (an external attribute) of relational and object-relational databases and control its quality.

It is also important to validate the metrics from a formal point of view in order to ensure its utility. Several frameworks for measure characterisation have been proposed. Some of them ([1], [25], [2]) are based on axiomatic approaches. The goal of this approach is merely definitional by defining formally desirable properties for measures for a given software attribute, so axioms must be used as guidelines for the definition of a measure. Others ([26]) are based on measurement theory which specifies the general framework in which measures should be defined. Some of the presented metrics have been formalised from both points of view, axiomatic approach ([21], [22]) and measurement theory ([3], [5]).

However, into the aspects of software measurement, the research is needed ([20]), from theoretical but also from a practical point of view ([14]). So, it is necessary to do experiments to validate the metrics. In this line we have also validate empirically some of the presented metrics ([4]).

But the controlled experiments have problems (like the large number of variables that causes differences, deal with low level issues, microcosm of reality and small set of variables) and limits (do not scale up, are done in a class in training situations, are made in vitro and face a variety of threats of validity). Then, is convenient to run multiple studies, mixing controlled experiments and case studies. We are now working on this last kind of empirical validation with our metrics.

We are also adapting our framework to several RDBMS that followed the SQL:1999 model, simplifying the measures to be taken. In this way, we can give a more precise guide to the metrics usage ([8]), and develop a tool for automatic metrics collection.

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